

Annexes

Annex 1: Comparative World Bank PPP disclosure framework and the CoST Infrastructure Data Standard

No	WB Disclosure Framework	No	CoST IDS
Total	53	Total	26
BASIC PROJECT DATA			
1	Project name	1	Project name
2	Location	2	Project location
3	Sector	3	Sector and subsector
4	Sponsoring agency/department	4	Project owner
5	Project value	5	Project budget
6	Project need: benefits provided, economic and social (including specific information on the public interest aspect)	6	Purpose
7	Technical description of the physical infrastructure	7	Project description
8	High-level description of the services	8	Project scope (main output)
9	Estimated demand to be served annually	9	Project brief or feasibility study
10	Project additionality	10	Project brief or feasibility study
11	Reason for selection of PPP mode and type in brief	11	Project brief or feasibility study
12	Brief description of the other modes analyzed and reasons for rejecting these	12	Project brief or feasibility study
13	Dates of various approvals	13	Project budget approval date
EVALUATION OF PPP			
14	Link to evaluation report (value for money or other)		
15	State the rationale for doing the project as a PPP, including any qualitative or quantitative value-for-money or other analysis that might have been used. If nonfinancial benefits have been quantified or considered, these could be stated		
16	The discount rates used should be specified in the disclosure along with the risk premium used, if any, and an explanation for the rate of risk premium used, referring to guidance, if any, available in this regard or describing project-specific circumstances that justify the risk premium rate used.		
RISK			
17	Risks		
PROCUREMENT INFORMATION			

18	RFQ	
19	Pre-qualification or short list	
20	RFP	14 Tender documents
21	Evaluation criteria: brief description with weightage	
22	Brief information on constitution of the evaluation committees	
23	Negotiation parameters: brief description of the parameters for negotiation with preferred proponent	
24	Minutes of pre-bid meetings	
25	Selection of preferred bidder	15 Tender evaluation results
PROCUREMENT DOCUMENTS		
26	Contract documents	16 Contract title, Contract type, Contract price, Contract scope of work, Contract agreement and conditions
PARTIES TO THE CONTRACT WITH CONTACT DETAILS		
27	Public authority: name of authority, name of representative, address, telephone, fax, e-mail	17 Contract administration entity, Contract officials and roles
28	Private party: name of company or consortium, name of representative, address, telephone, fax, e-mail	18 Contract firm(s)
29	Financiers: name of Lead FI, other FIs, name of representative of lead FI, address, telephone, fax, e-mail	19 Funding sources
Sub-total 6	CONTRACT MILESTONES (ESTIMATED AND ACTUAL)	
30	Date of commercial close	
31	Date of financial close	
32	Date of commencement of construction or development	19 Contract start date and duration
33	Date of completion of construction or development	20 Completion date (projected)
34	Date of commissioning	
35	Date of contract expiry	21 Contract start date and duration
Sub-total 8	FINANCIAL INFORMATION	
36	Equity-debt ratio	
37	Share capital	
38	Shareholders with proportion held and voting rights	22 Registration and ownership of firms

39	Caps on equity transfer in different stages of the contract, especially during the construction stage and for a few years thereafter. Details of any such provisions.		
40	Commercial lenders, institutional investors, bilateral or multilateral lenders, public issue of bonds, supplier credit, other	23	Funding sources
41	Categorize senior debt, mezzanine debt, other		
42	Amount and tenor of each, fixed or floating rate		
43	Forecast IRR		
Sub-total			
6	GOVERNMENT SUPPORT		
44	Guarantees		
45	Grants		
46	Service payments		
47	Land leases, asset transfers		
48	Other support		
49	Revenue-share		
Sub-total			
3	TARIFFS		
50	Tariffs and pricing		
Sub-total			
1	CONTRACT TERMINATION		
51	Events of default and termination payments	24	Project completion report, Project evaluation report, Technical audit reports, Financial audit reports , Disbursement record or payment certificates
Sub-total			
1	RENEGOTIATIONS		
52	Renegotiation of contracts	25	Contracts amendments, Variation to contract price, Variation to contract duration, Variation to contract scope and reason for changes
Sub-total			
	PERFORMANCE		
53	Performance indicators	26	Implementation progress reports

Annex 2: Assurance teams conducting the assurance process across PPP projects

THIRD ASSURANCE PROCESS		FOURTH ASSURANCE PROCESS		FIFTH ASSURANCE PROCESS		SIXTH ASSURANCE PROCESS	
Team Leader, Sustainable Development and Project Management Specialist	Pablo Álvarez Monthiel	Team Leader, Sustainable Development and Project Management Specialist	Pablo Álvarez Monthiel	Team leader, Procurement and contract management specialist	Mirian Valdez	Team Leader, Sustainable Development and Project Management Specialist	Pablo Álvarez Monthiel
Financial Specialist	Sandra Zelaya	Financial Specialist	Sandra Zelaya	-		Financial Specialist	Sandra Zelaya
PPP Specialist	Mey Lin Chin	PPP Specialist	Mey Lin Chin	-		PPP Specialist	Mey Leen Chin
Infrastructure Specialist	Manrique Yu-way	Infrastructure Specialist	Manrique Yu-way	Project monitoring and supervision specialist	Marina Ponce Gaitán	Infrastructure Specialist	Manrique Yu-way
Social Development Management Specialist	Ricardo Vásquez	Social Development Management Specialist	Ricardo Vásquez	Road infrastructure construction specialist	Carlos Jorge Martínez Arjona	Natural Disaster Risk Management Specialist	Arlette Montero

Land Planning and Management Specialist	Eduardo Moreno	Land Planning and Management Specialist	Eduardo Moreno	Transport and Road Infrastructure Specialist	Denis A. Del Cid	Land Planning and Management Specialist	Eduardo Moreno
		Airport and Roads Specialist	Adrián Alvarado	Data and Project Management Specialist	María José Portillo	Road and Pavement Specialist	Jorge Luis Rodríguez
		Pavement and Geotechnical Specialist	Marcio Alvarado			Geotechnical Specialist	Dorian Espinoza
						Project Management and Institutional Analysis Specialist	Ricardo Elvir
						Civil engineer and risk management	Miguel López
						Civil engineer	Brayan Cárcamo
						Systems engineer	Hiwber Suárez